



Mail Stop Patent  
Attorney Docket No. 82489

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

OBAJE, Olobo Jonathan

Serial No.: 10/050,402

Group Art Unit: 1623

Date Filed: January 15, 2002

Examiner: P. Lewis

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#5  
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TECH CENTER 1600/2900

For: **TRANS-ACIDOLYSIS PROCESS FOR THE PREPARATION OF CARBOHYDRATE  
FATTY-ACID ESTERS**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Information Disclosure Statement Transmittal Letter;
- (3) PTO Form 1449;
- (4) PCT Search Report;
- (5) Australian Patent Office Search Report;
- (6) Seven (7) References; and
- (7) Check No. 19363 in the amount of \$180.00 for the Information Disclosure Statement fee.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By:

*Harold L. Novick*  
Harold L. Novick  
Registration No. 26,011  
Customer No. 20529

Date: September 16, 2003  
NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street N.W., 6<sup>th</sup> Floor  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OBAJE, Olobo Jonathan

Serial No.: 10/050,402

Group Art Unit: 1623

Date Filed: January 15, 2002

Examiner: P. Lewis

For: **TRANS-ACIDOLYSIS PROCESS FOR THE PREPARATION OF SURFACE ACTIVE  
FATTY-ACID ESTERS**RECEIVED  
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TECH CENTER 1600/2900

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ ] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ X ] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- [ ] i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
- [X] ii. a check in the amount of \$180.00 required by 37 C.F.R. §1.17(p).
- [ ] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.
- [ ] d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- [ ] e. Certification [Check one] [Certification is required only if box (b)(I) or box (c) is checked.]

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☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

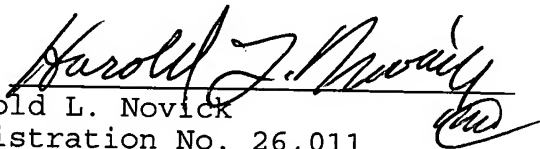
☐ Appropriate certification is attached.

☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

Copies of the documents are attached herewith with a completed Form PTO-1449. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By:   
Harold L. Novick  
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Page 1 of 1

FORM PTO-1449

INFORMATION DISCLOSURE CITATIONAtty Docket  
82489Serial No.  
10/050,402

Applicant

OBAJE, Olobo Jonathan

Filing Date

January 15, 2002

Group Art Unit

1623

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	5,945,519	8/31/99	Desai et al.	536	18.6	6/2/98
	AB	5,908,922	6/1/99	Kasori et al.	536	18.6	4/1/97
	AC	3,956,278	5/11/76	Prey	260	234	11/5/73
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Trans-lation
	AL	0647653	12.04.95	EPO	C07H	3/00	Yes
	AM	0062565	13.10.82	EPO	C07H	13/06	No
	AN	DD227137	11.09.85	Germany	C07H	13/06	No
	AO	0647652	12.04.95	EPO	C07H	3/00	Yes
	AP						

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
 Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to Applicant.